

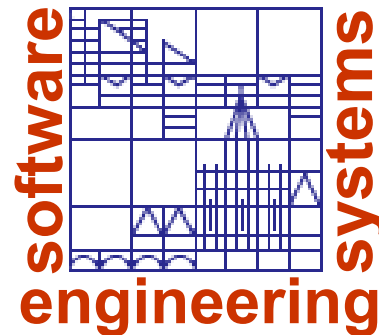
Bachelor & Master Projects and Theses

Prof. Dr. Stefan Leue

Software and Systems Engineering

<http://sen.uni-konstanz.de/>

Summer Term 2024



◆ Members

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- ▶ Rafael Senn {RS}
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◆ Our Objectives

- ▶ projects and theses close to ongoing research projects
- ▶ links to practical and relevant applications
- ▶ completion of project and theses within defined time limits (examination regulations / Prüfungsordnung)

◆ What We Offer

- ▶ close and individual supervision
- ▶ regular meetings and guidance
- ▶ if possible and applicable, supervision in collaboration with external partners
 - research institutions
 - industry

◆ Our Expectations

- ▶ **project** is typically a literature survey, problem statement or similar
 - leads to definition of thesis topic (not mandatory, but recommended)
 - project report: approx. 10-20 p.
- ▶ **thesis**
 - requires some own contribution
 - **Bachelor**: problem solution idea, critical literature survey, innovative case study, ...
 - **Master**: own problem solution concept, evolving an existing approach, algorithmic concept and implementation, revealing comparison with other approaches, ...
 - thesis document: approx. 30-50 p.

◆ Project (Bachelor and Master)

- ▶ 1 semester
- ▶ 9 ECTS (270h work)

◆ Thesis (Bachelor)

- ▶ 3 months (1/2 Semester)
- ▶ 12 ECTS (Thesis) + 3 ECTS (Colloquium) = 15 ECTS (450h work)

◆ Thesis (Master)

- ▶ 6 Months (1 Semester)
- ▶ 30 (Thesis + Colloquium) ECTS (900h work)

Dates

◆ For BA/MA Projects and Theses, the Following Dates Apply

Projekt		Abschlussarbeit		
Anmeldung	Abgabe bis	Anmeldung	Bearbeitungsbeginn	Abgabe bis*
15.10. – 15.11.	15.01.	01.02. – 15.02.	01.03.	01.06.
15.01. – 15.02.	15.04.	01.05. – 15.05.	01.06.	01.09.
01.04. – 01.05.	30.06.	15.07. – 01.08.	15.08.	15.11.
01.07. – 01.08.	30.09.	15.10. – 02.11.	15.11.	01.03.

* ungefähre Angabe; der genaue Zeitpunkt wird vom ZPA festgelegt

◆ Typical Generic Structure:

1. Introduction

- motivation of work, state of the art, related work, contributions

2. Preliminaries

- which facts / concepts / definitions / algorithms / approaches / methods does this work rely on (“standing on the shoulders of giants”)
- i.e., any technical information that is needed but not developed in the course of this report / thesis

3. Approach

- technical contribution of the thesis (concepts / definitions / algorithms / approaches / methods etc.)

4. Implementation

- software that has been implemented

5. Evaluation

- case studies, experiments, quantitative and qualitative assessment, etc.

6. Conclusion

- what has been accomplished
- future research directions

7. Bibliography

◆ Before you start your work

- ▶ submit written **proposal** (≈ 1-2 pages) to sen@uni-konstanz.de containing
 - the *topic* you want to choose
 - how well you *match the prerequisites*
 - *schedule* for the project / thesis
 - what will be achieved at which point in time
 - * requires a careful break-down of the project / thesis topic into subgoals
 - when will the project / thesis be officially registered

◆ During your preparation of the project work / thesis

- ▶ regular consultation with your supervisor
 - approx. every 4 weeks

◆ Deliverables

- ▶ **project report** to the supervisor
- ▶ **thesis**
 - must be submitted to the examination office
 - in parallel: electronic copy (pdf) to supervisor
- ▶ any **models / code / data / binaries** you created for the project
 - include in DVD attached to the thesis
 - in parallel: electronic copy to supervisor

◆ I. Safety Analysis, Causality, Real-Time Systems and Repair

- ▶ Causality Checking
- ▶ Causality in DNNs
- ▶ Functional Safety of Automotive Systems
- ▶ QuantUM+: Model Based System Engineering, implementation of Causality Checking
- ▶ TarTar: Analysis and Automated Repair of Timed Systems
 - synthesis of repairs using SMT technology

◆ II. Legal Tech

- ▶ logical modeling and analysis of legal artefacts
- ▶ understanding legal contracts using Natural Language Processing

◆ III. Formal Verification and Repair for Machine Learning

- ▶ counterexample computation for DNNs
- ▶ automated repair of DNNs
- ▶ applications in health science

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◆ Setting

- ▶ ongoing standardization of version 2 of the OMG SysML
 - <https://www.omgsysml.org/SysML-2.htm>
- ▶ own meta-model and state-machine semantics independent from UML and SysML v. 1.x

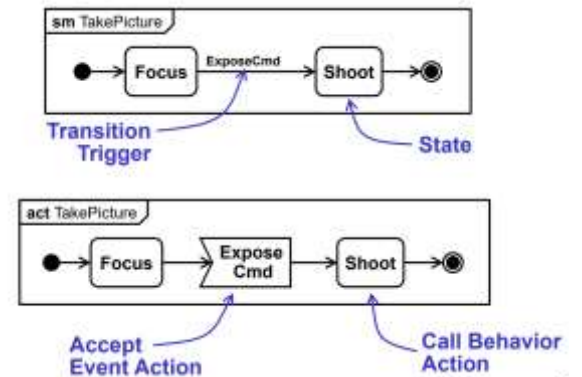


◆ Research Questions

- ▶ study and understanding of the state-machine semantics of SysML V2
 - https://github.com/Systems-Modeling/SysML-v2-Release/blob/master/doc/1-Kernel_Modeling_Language.pdf
- ▶ study of the underlying "4D semantics" by Conrad Bock (NIST)
 - <https://www.conradbock.org/bockonline.html>

◆ Goal

- ▶ devising a strategy for automated formal analysis of SysML V2 state machine models
- ▶ prototype tool / case study
- ▶ potential for link to QuantUM



excerpt from:
<https://www.conradbock.org/omg/ad/2018-12-09.pdf>

Causality Checking for Symbolic Execution [M] {SL}

◆ Setting

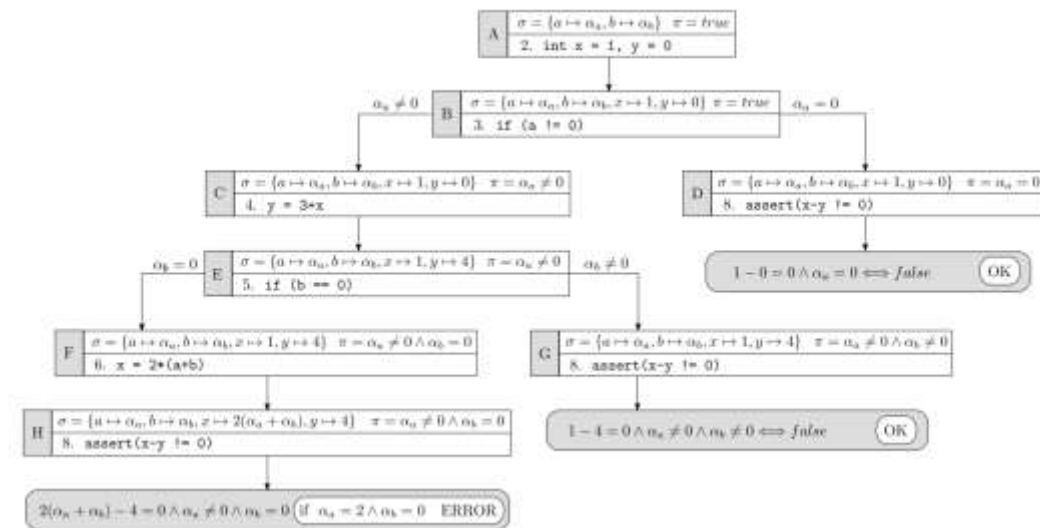
- ▶ symbolic execution is the logical representation of program execution paths
- ▶ it is the foundation of many program analysis and testing methods, e.g., concolic testing, fuzzing, etc.
- ▶ tools (examples)
 - KLEE, https://www.usenix.org/legacy/event/osdi08/tech/full_papers/cadar/cadar.pdf
 - SAGE, <https://queue.acm.org/detail.cfm?id=2094081>

◆ Research Question

- ▶ study of the application of counterfactual causal analysis / Causality Checking to symbolic execution

◆ Goal

- ▶ algorithm and tool development, case study



Causality Checking for Software Model Checking [B,M] {SL}

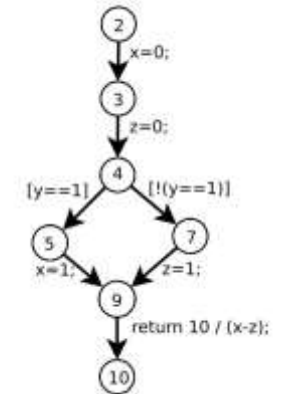
◆ Setting

- ▶ software model checkers analyze programming language code (often C code) instead of modeling languages
- ▶ tools
 - CPAChecker <https://cpachecker.sosy-lab.org/>
 - Ultimate <https://www.ultimate-pa.org/>

```
1 int foo(int y) {  
2   int x = 0;  
3   int z = 0;  
4   if (y == 1) {  
5     x = 1;  
6   } else {  
7     z = 1;  
8   }  
9   return 10 / (x - z);  
10 }
```

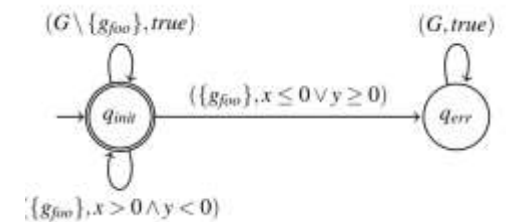
◆ Research Question

- ▶ how can the Causality Checking approach be conceptually applied to software model checking
 - interpretation of counterfactuality in counterexamples and non-faulty executions



◆ Goal

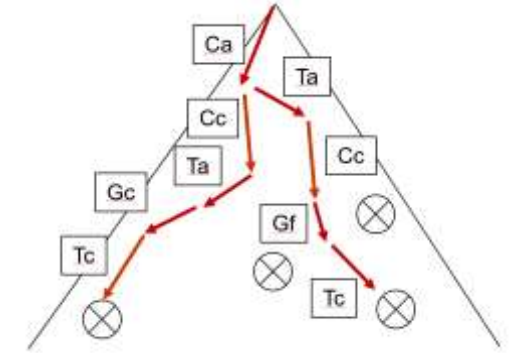
- ▶ concept of Causality Checking for SMC
- ▶ prototypical tool development, case study



Causality Checking and Hyperproperties [B,M] {SL}

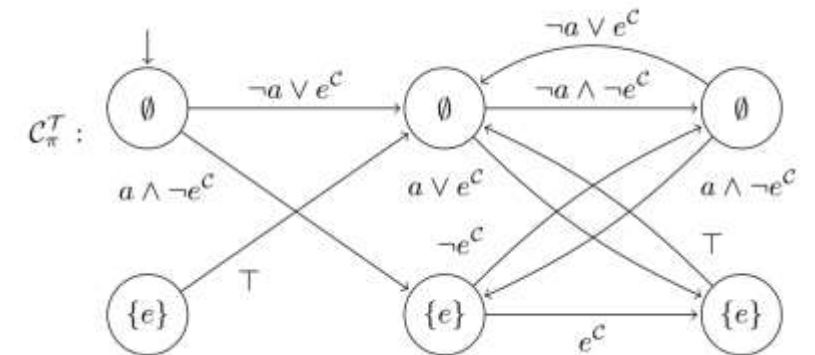
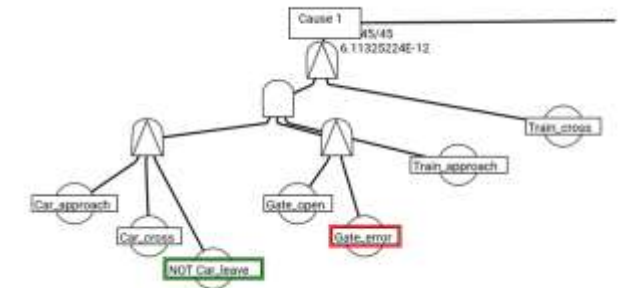
◆ Setting

- ▶ Coenen et al. propose to use hyperproperties (properties of sets of traces) and Hyper LTL model checking to compute causalities
 - https://doi.org/10.1007/978-3-031-13185-1_20
 - https://doi.org/10.1007/978-3-031-19992-9_13
- ▶ Causality Checking as implemented in QuantUM relies on simple explicit-state model checking



◆ Research Objective

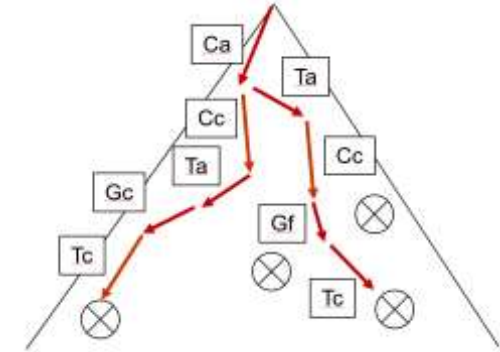
- ▶ comparison of the capabilities of both approaches to compute actual causes / counterfactual causal explanations
- ▶ development of recommendations for a reconciliation of both approaches, if possible
- ▶ case studies



Symbolic Encoding of Causality Checking [M] {RS, SL}

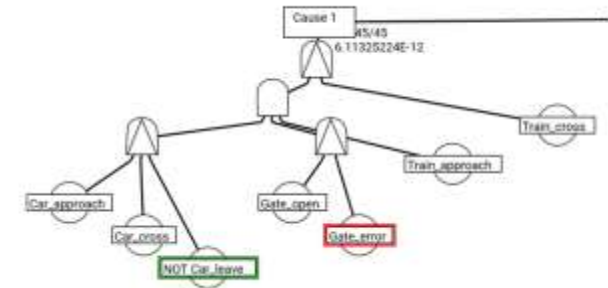
◆ Causality Checking

- ▶ computing ordered sequences of events in a system model as being causal for reaching a dangerous system state (e.g., car and train in the railroad crossing)
- ▶ currently relies on explicit state space search
- ▶ bottleneck
 - number of traces to be stored



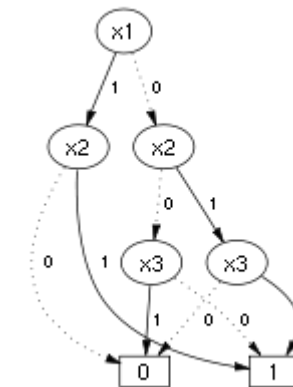
◆ Objective

- ▶ symbolic encoding of causality conditions using Binary Decision Diagrams (BDDs)
- ▶ computation of causes based on BDD libraries
- ▶ implementation in the QuantUM toolset



◆ Literature

- ▶ F. Leitner-Fischer and S. Leue. 2013. Causality Checking for Complex System Models. In Proceedings of VMCAI 2012, pp. 248-267, LNCS, Volume 7737. Springer Verlag.



Topic Areas for Projects and Theses

◆ I. Safety Analysis, Causality, Real-Time Systems and Repair

- ▶ Causality Checking
- ▶ Causality in DNNs
- ▶ Functional Safety of Automotive Systems
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◆ II. Legal Tech

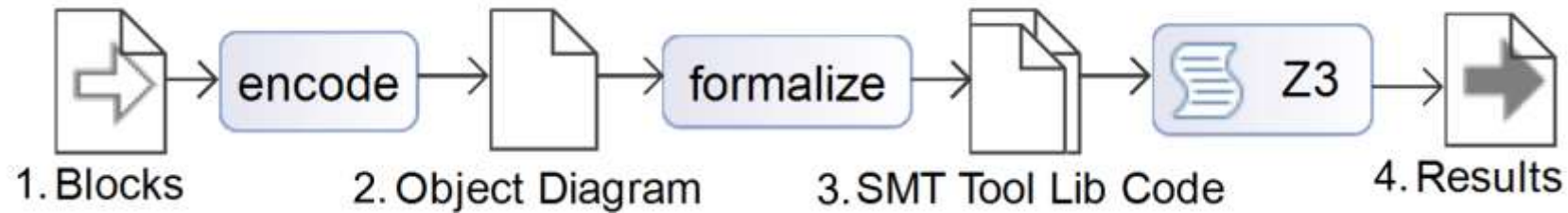
- ▶ logical modeling and analysis of legal artefacts
- ▶ understanding legal contracts using Natural Language Processing

◆ III. Formal Verification and Repair for Machine Learning

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- ▶ automated repair of DNNs
- ▶ applications in health science

◆ IV. Centre for Human | Data | Science

A State Machine Model for Contract Execution [B,M] {RS, SL}



◆ Legal Tech

- ▶ existing model of legal contract execution using strongest precondition semantics for claims
- ▶ encoded as propositional logic
- ▶ analyzed using SMT-solving / Contract Check

◆ Goal

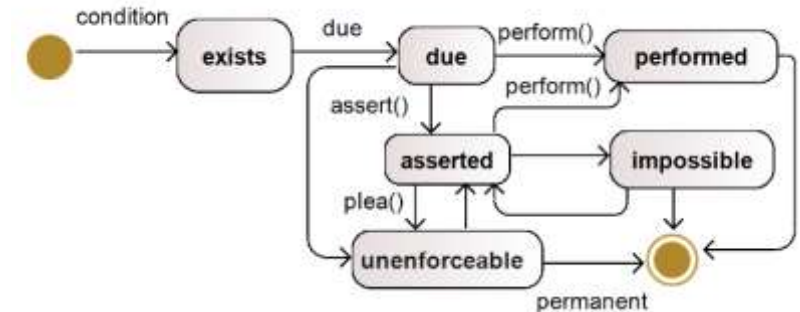
- ▶ deriving a concurrent state machine model for the contract execution

◆ Context

- ▶ existing joint project with Prof. R. Wilhelmi, Department of Law
- ▶ existing tool ContractCheck

◆ Reading

- ▶ https://doi.org/10.1007/978-3-031-15077-7_1



Contract Execution and Game Theory [M] {RS, SL}

◆ Legal Tech

- ▶ modeling of legal contracts
 - share purchase agreements
 - seller
 - purchaser
- ▶ they "play a game"
 - satisfy / not satisfy claims
 - execution on time / delayed
 - ...
- ▶ which moves put which player into an advantageous situation?
 - ⇒ **game theory**
- ▶ possible extensions
 - quantifiable loss / risk

		party B		
		support	oppose	evade
party A	support	 A 60% B 40%	 A 20% B 80%	 A 80% B 20%
	oppose	 A 80% B 20%	 A 25% B 75%	 A 75% B 25%
	evade	 A 35% B 65%	 A 30% B 70%	 A 40% B 60%

saddlepoint

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1.600 x 1.600

◆ Objective

- ▶ formulate contract execution as two-party game

Testing Logic Encodings of Sales Contracts [B,M] {DB, SL}

◆ Setting

- ▶ ContractCheck translates a Sales Purchase Agreement (SPA) into a logic encoding
- ▶ Encoding knowledge and processes in logic bears the danger of producing a faulty encoding

◆ Question

- ▶ How can we test an encoding in logic to gain confidence that it faithfully captures the encoded artefact?
 - Develop testing methods for gaining confidence in a logic encoding of an SPA
 - Important when encoding is provided automatically (e.g., by an ML model)

◆ Possible Directions

- ▶ Compute a diverse set of satisfying assignments of the logic encoding

◆ Literature

- ▶ <https://doi.org/10.48550/arXiv.2212.03349> (ContractCheck)



Generated by Midjourney

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◆ III. Formal Verification and Repair for Machine Learning

- ▶ NN repair for cyber-physical systems
- ▶ verifying global specifications using cutting planes
- ▶ faster NN repair
- ▶ verification of Self-Driving cars

NN Repair for Cyber-Physical Systems [B,M] {DB, SL}

◆ Setting

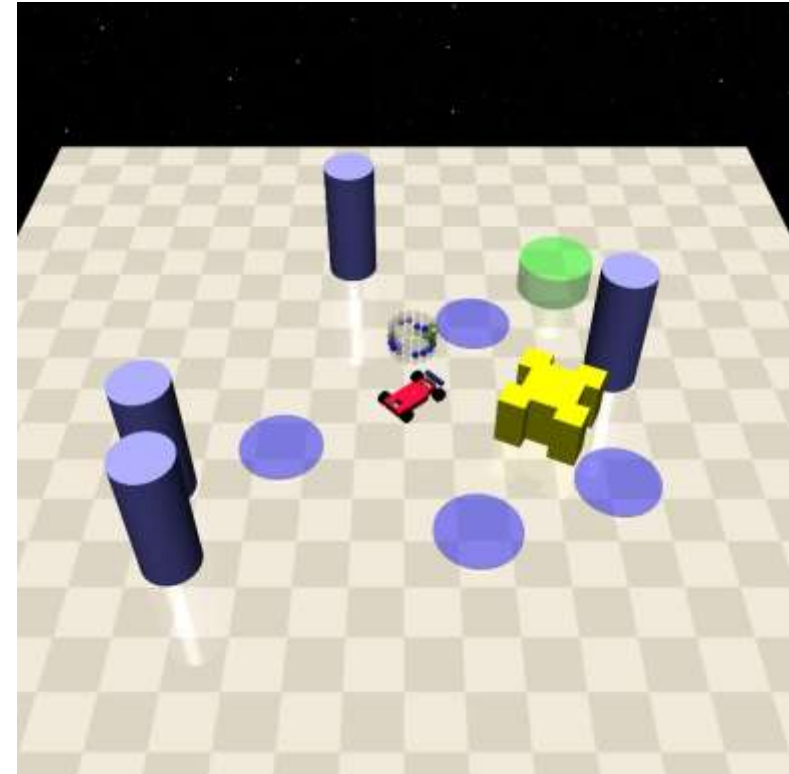
- ▶ Deep learning models can be embedded as controllers in safety-critical cyber-physical systems (autonomous driving, steering aircraft and spaceships).
- ▶ Such systems require **safety guarantees**

◆ Question

- ▶ Repair DNN controllers using SpecRepair and Safety Critics
- ▶ Verification using JuliaReach, NNV, or others

◆ Literature

- ▶ https://doi.org/10.1007/978-3-031-15077-7_5 (SpecRepair)
- ▶ <https://openreview.net/forum?id=iaO86DUuKi> (Safety Critics)



From: <https://github.com/PKU-Alignment/safety-gymnasium>

Verifying Global NN Specifications using Cutting Planes [B] {DB, SL}

◆ Description

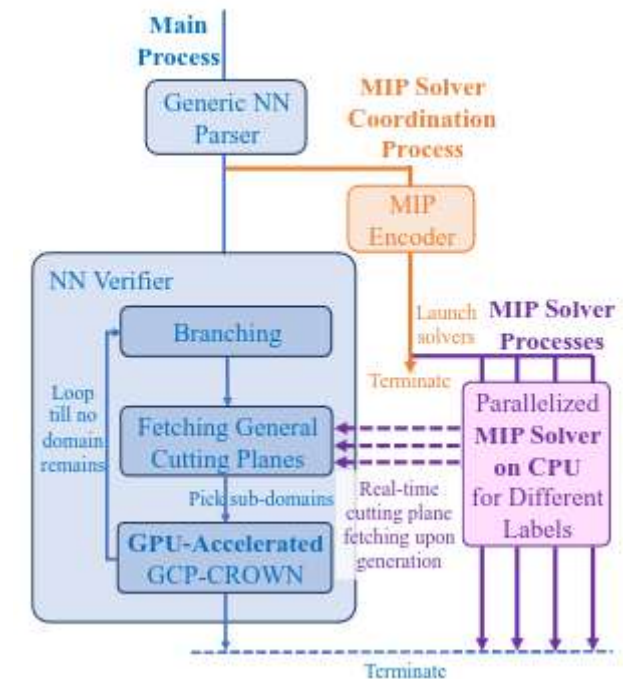
- ▶ Many desirable properties of NNs apply for the entire input region (global specifications)
- ▶ Current NN verifiers are targeted at local specifications
- ▶ Recent development in verifiers: cutting planes (GCP-CROWN)
- ▶ Cutting planes generalize the ReluDiff approach for verifying specific global specifications
- ▶ Can cutting planes verify general global specifications?

◆ Tasks

- ▶ Formalize global specifications in GCP-CROWN
- ▶ How far does this scale?
- ▶ Comparison with ReluDiff

◆ Literature

- ▶ <https://arxiv.org/abs/2306.12495> (Global Specifications)
- ▶ https://papers.nips.cc/paper_files/paper/2022/hash/0b06c8673ebb453e5e468f7743d8f54e-Abstract-Conference.html (GCP-CROWN)
- ▶ <https://dl.acm.org/doi/10.1145/3377811.3380337> (ReluDiff)



Faster Neural Network Repair [B, M] {DB, SL}

◆ Setting

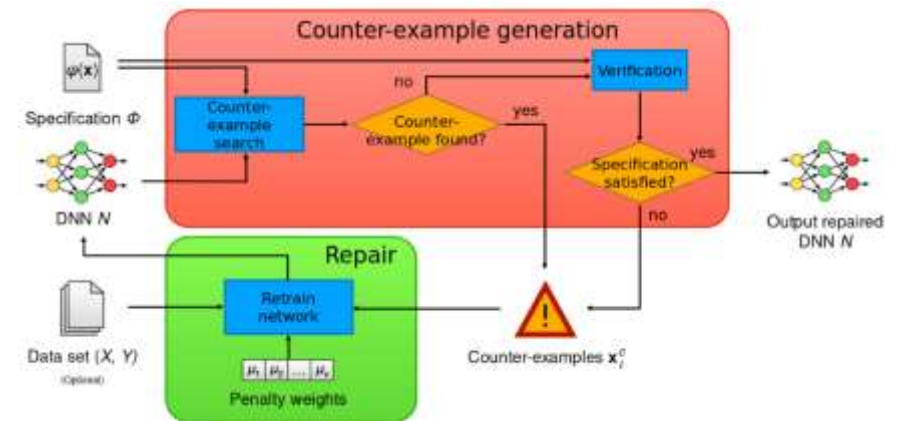
- ▶ SpecRepair uses piecewise-linear violation functions to quantify counterexample violations
- ▶ These violation functions have certain drawbacks
 - Only gradient for the least-violated term is given for disjunction
 - Violation functions are not differentiable everywhere and, therefore, do not have a continuous gradient.

◆ Question

- ▶ Are there different violation functions that can accelerate repair or improve network performance?
- ▶ Can we learn violation functions and improve repair?

◆ Literature

- ▶ https://doi.org/10.1007/978-3-031-15077-7_5
(SpecRepair)
- ▶ <http://proceedings.mlr.press/v97/fischer19a.html>
(DL2, has a different set of violation functions)



Verification of a Self-Driving Car [M] {DB, SL}

◆ Motivation

- ▶ neural networks are applied in self-driving cars where mistakes are fatal

◆ Identify Safety Constraints and Apply Them to the AI Training Procedure

- ▶ What safety constraints are important during driving?
- ▶ can the neural network be made safe by altering the training procedure?
- ▶ develop a simulation of a self-driving car:
 - DeepDrive (<https://deepdrive.io>)
 - F1tenth (<https://f1tenth.org>)

◆ Prerequisites

- ▶ machine learning models and training algorithms
- ▶ interest in verification



◆ Own Ideas Welcome!

- ▶ if you have **own ideas**
 - topics not included in our catalog
 - modifications of proposed topics

please talk to us!

- topic finding is an iterative, deliberative process!

◆ ... either one of us at any time!

- ▶ Prof. Dr. Stefan Leue
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- ▶ David Boetius
 - Email: David.Boetius@uni.kn
- ▶ Raffael Senn
 - Email: Raffael.Senn@uni.kn
- ▶ or: sen@uni-konstanz.de

Questions



Causality Checking and Choice Functions

TA-Repair using k-normalized Zonegraphs [B,M] {RS, SL}

◆ Setting

- ▶ Real-Time Systems can be modelled with Timed Automata (TA)
- ▶ designing a TA which is correct w.r.t. some property is hard
- ▶ TarTar can repair a faulty TA by removing a single counterexample.
 - This repair does not guarantee that the TA is correct

◆ Objective

- ▶ Apply the repair computation of TarTar to a symbolic representation of the TA (k-normalized Zonegraph)
- ▶ Experimentally implement the approach and compare it with state of the art methods

◆ Literature

- ▶ M. Kölbl, S. Leue, and T. Wies. 2022. Automated Repair for Timed Systems. In Formal Methods in System Design, Springer Verlag.

